Open Access Publishing at York: An Introduction

Open Access Policy & Implementation Working Group

on behalf of the

Open Access/Open Data Steering Committee

Context

- Open Access publishing has been adopted across a range of disciplines. Examples of successful projects:
  - PLOS (Public Library of Science)
  - Biomed Central
  - SSRN (Social Science Research Network)

- The Tri-council Agencies (CIHR, NSERC, SSHRC) adopted the "Tri-Agency Open Access Policy on Publications" in May 2015, which mandates that all peer-reviewed journal articles resulting from Tri-agency grants must be open access within 12 months of initial publication.

Definitions

What is Open Access Publishing?

- "Open access" to research scholarship is understood to mean "its free availability on the public internet, permitting any users to read, download, copy, distribute, print, search, or link to the full texts of these articles, crawl them for indexing, pass them as data to software, or use them for any other lawful purpose, without financial, legal, or technical barriers other than those inseparable from gaining access to the internet itself" (from the Budapest Open Access Initiative declaration, 2002.)

Steering Committee Goals

In response to the Tri-agency policy, the Open Access/Open Data Steering Committee was created in June 2016 with the following goals:
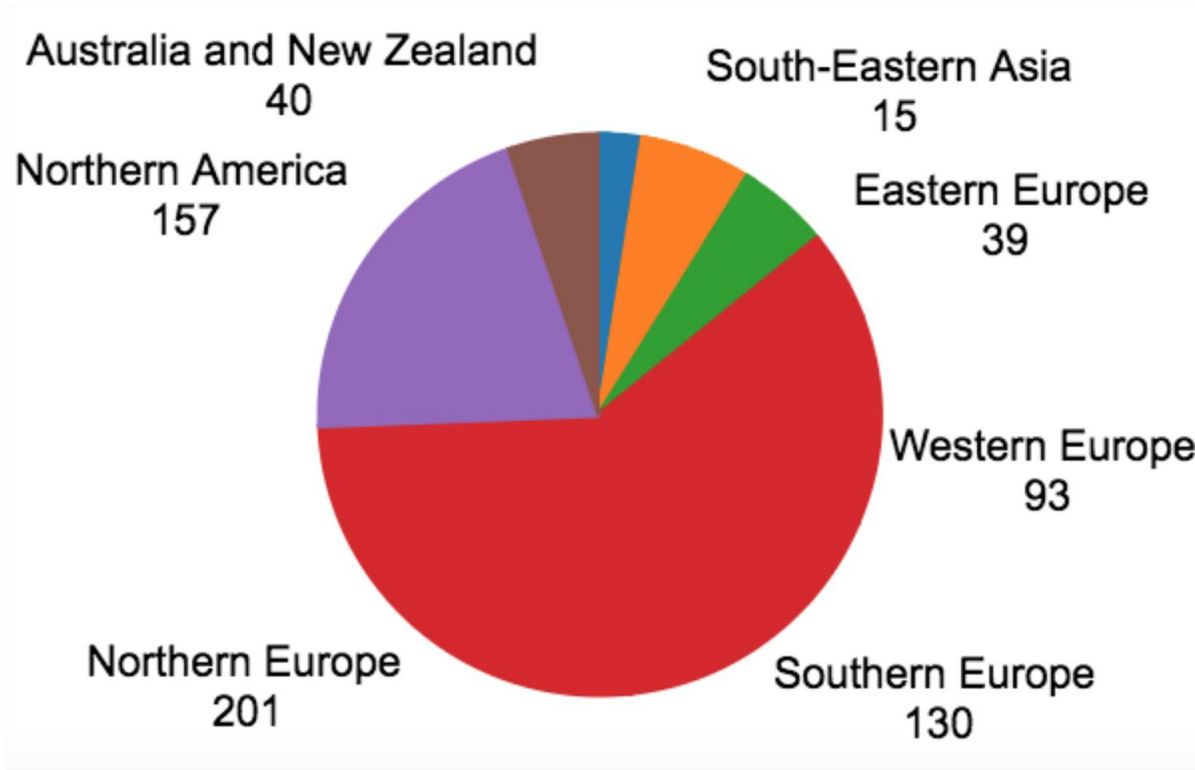
- *Education*: To raise awareness about open access publishing and develop educational materials to support faculty in learning about open access.

- *Infrastructure*: To survey and make recommendations about the resources required by York faculty to fully benefit from the potential of Open Access Publishing to improve the dissemination of knowledge.

- *Policy*: To propose a framework that might be adopted by the university to initiate a broader institutional adoption of Open Access in line with the requirements of the Tri-agency policy.
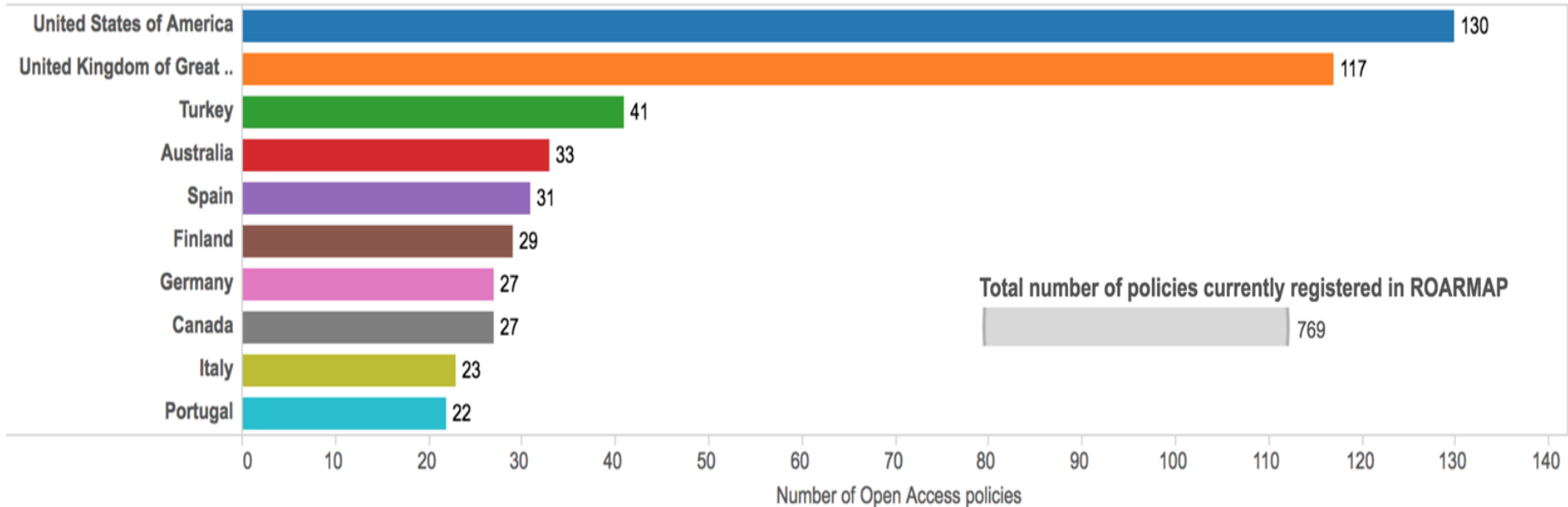
Institutional Open Access Policies

The focus of today's presentation is to begin a discussion about the possible adoption of **Open Access Policy** at York.

- Open access policies typically provide guiding principles and frameworks for the implementation of open access publication at institutions.

- Open access policies have been adopted by hundreds of universities and research organizations internationally including many in Canada.
  - The York University Librarians and Archivists adopted one in October 2009
  - Other faculties - notably Osgoode and the Faculties of Science and Health - frequently publish using open access without a policy.

Number of OA Policies by Content

Australia and New Zealand 40
Northern America 157
South-Eastern Asia 15
Eastern Europe 39
Western Europe 93
Northern Europe 201
Southern Europe 130

http://roarmap.eprints.org/dataviz2.html

Number of Open Access Policies – Top 10 Countries

http://roarmap.eprints.org/dataviz2.html

Benefits of an OA Policy:

Authors:
1. Increased visibility and reach of science
2. Researchers can reuse and distribute their work
3. Ensures work is preserved in a trusted repository
4. Support to negotiate author rights

Other:
1. Accelerates Discovery / Improves Education
2. More equal access to scholarship
3. Transparency and access to taxpaying public

What an open access policy is… and isn't…

An Open Access policy at York would *support* the following:

- The deposit of scholarship in an open access digital repository such as **YorkSpace**, the University's own institutional repository.
- The development of resources to help faculty benefit from the increased visibility resulting from Open Access publication.

An Open Access policy does not:

- Tell researchers where they can publish.
- Provide a one-size-fits-all framework. It is designed to be adapted and built upon in line with discipline- and field-specific practices.

A proposed policy…

The draft policy developed by the Policy Working Group has only three parts and reads as follows:

- *Faculty and other researchers affiliated with York University shall deposit their scholarship in a trusted open access repository such as Yorkspace or its equivalent through a non-exclusive license.*

- *The Provost or Provost's designate [shall] waive application of the Senate's policy for a particular work, or delay access for a specified period of time, upon express direction in writing by an author or authors. (Other policies, such as the Tri-Council OA policy shall continue to apply.)*

- *York University will undertake to provide a trusted open access repository for preservation and dissemination of research produced by York faculty and affiliated researchers and to provide the appropriate supports to enable its full utilization.*

Some frequently asked questions…(1)

What is meant by "scholarship" in the draft policy?

The policy applies to "scholarship," which is typically presented in peer-reviewed scholarly articles, book chapters, and conference proceedings.

Many of the written products of faculty effort may not fall into this category (e.g. books and edited collections, open conference papers, newspaper and magazine articles, blogs and social media commentary, fiction and poetry, performances, artworks, ephemeral writings, lecture notes, lecture videos, software, or other such works.)

Some frequently asked questions...(2)

I already deposit my articles in another open access repository. Does this mean I have to use Yorkspace?

If an article is available in another open access repository, the policy is satisfied. Faculty and researchers affiliated with York University are, however, encouraged to also deposit their articles with Yorkspace and/or the Osgoode Digital Commons as appropriate.

As part of the implementation of the policy, we will be seeking ways to work with existing repositories already used by York faculty members and capturing articles authored by York faculty where permissible.

Some frequently asked questions...(3)

How do I know if my publication allows me to deposit in an open access repository?

80 to 90% of journal publishers allow a version of the article to be deposited in an open access repository. This is stipulated in the publisher agreement that you sign. Typically it is described as an open access policy or self-archiving policy. A handy tool to view your author rights , including your ability to archive your article in an open access repository, is the Sherpa Romeo site:

http://www.sherpa.ac.uk/romeo/index.php

Need help? Contact your YUL librarian for guidance

Example: <u>Nursing</u> journal in Sherpa Romeo:

http://www.sherpa.ac.uk/romeo/index.php

SHERPA/R∘MEO

. . . opening access to research

Home · Search · Journals · Publishers · FAQ · Suggest · About

earch - Publisher copyright policies & self-archiving

English | Español | Magyar | Nederlands | Portuguê

One journal found when searched for: **0212-5382**

Journal:	**Nursing** (ISSN: 0212-5382)
RoMEO:	This is a RoMEO **green** journal
Paid OA:	A paid open access option is **available** for this journal.
Author's Pre-print:	✔ author **can** archive pre-print (ie pre-refereeing)
Author's Post-print:	✔ author **can** archive post-print (ie final draft post-refereeing)
Publisher's Version/PDF:	✘ author **cannot** archive publisher's version/PDF
General Conditions:	• Authors pre-print on any website, including arXiv and RePEC • Author's post-print on author's personal website immediately • Author's post-print on open access repository after an embargo period of between 12 months and 48 months • Permitted deposit due to Funding Body, Institutional and Governmental policy or mandate, may be required to comply with embargo periods of 12 months to 48 months • Author's post-print may be used to update arXiv and RepEC • Publisher's version/PDF cannot be used • Must link to publisher version with DOI • Author's post-print must be released with a Creative Commons Attribution Non-Commercial No Derivatives License
Mandated OA:	Compliance data is available for 58 funders
Paid Open Access:	Open Access
Notes:	• Publisher last reviewed on 01/05/2015
Copyright:	Unleashing the power of academic sharing - Sharing Policy - Sharing and Hosting Policy FAQ - Green open access - Journal Embargo Period List (pdf) - Journal Embargo List for UK Authors - Attaching a User License (pdf) - Funding Body Agreements
Updated:	01-May-2015 - Suggest an update for this record
Link to this page:	http://www.sherpa.ac.uk/romeo/issn/0212-5382/
Published by:	Elsevier España (Elsevier Doyma) - *Green Policies in RoMEO*

This summary is for the journal's *default* policies, and changes or exceptions can often be negotiated by authors.
All information is correct to the best of our knowledge but should not be relied upon for legal advice.

Some frequently asked questions...(4)

What kind of open access option is the policy referring to?

Generally there are two open access options:

- Gold open access refers to articles that are published in open access journals
- Green open access refers to articles that are published in traditional subscription journals but allow for an author to publish an author's final version (not publisher's version) either a pre-print or post-print into an open access repository.

Our policy refers to green open access

Need help? Contact your YUL librarian for guidance

More questions…

If you have more questions, please consult the steering committee's website: https://www.library.yorku.ca/web/open/

A list of frequently asked questions is available there. If you have further questions, please contact us at:

OA-CONTACTS@YORKU.CA

Next Steps

We hope this discussion will continue across the campus over the next few months.

- We will be speaking to all faculties between February and April 2018.
- Town-halls will be held during March at both Keele and Glendon.
- A motion to be brought to Senate subsequent to these discussions.

If you have further comments, suggestions, please share them with us directly at: OA-CONTACTS@YORKU.CA

Opening a discussion

We hope to begin a dialogue about how best to encourage and support Open Access publishing at York. We look forward to hearing more from you about:

- Benefits or issues you see arising from the policy
- Information about specific disciplines that might improve any policy or Open Access publishing initiatives at York.
- Stories about successes/challenges arising from your personal experience with open access.